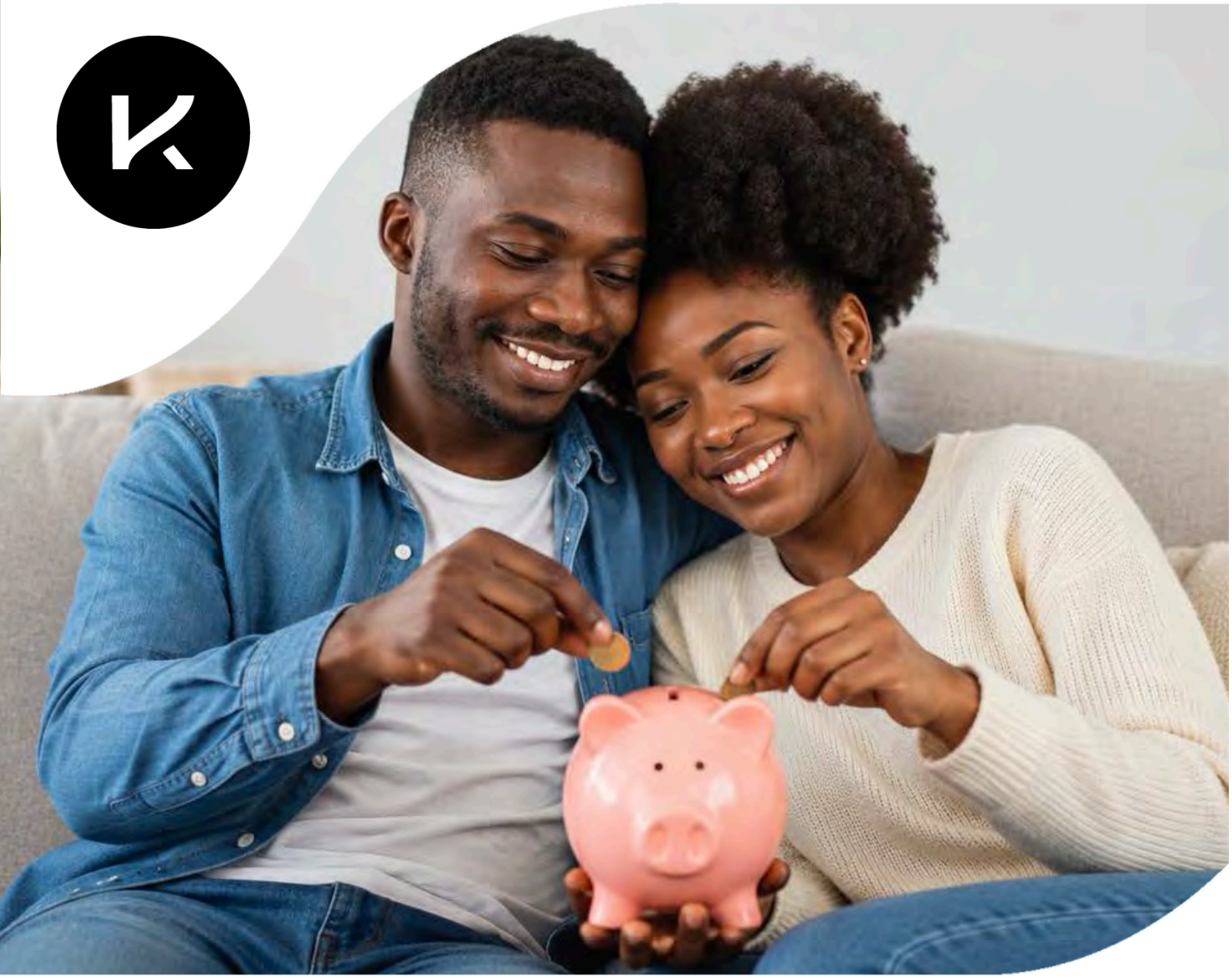

Account Opening Form

Home Ownership Savings Plan Fixed Income Fund

amaka@kwelicapital.com 0709 004 300 / 0709 004 331 / 332
ke.amakafund.com 13th Floor, 4th Avenue Towers Bishop Road, Upperhill, Nairobi

AMAKA | KWELI CAPITAL GROUP

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A: PRIMARY APPLICANT DETAILS

(i) INDIVIDUAL / JOINT APPLICANTS

- [ ] Certified copy of original ID or passport
- [ ] Certified copy of original KRA PIN
- [ ] One recent passport photograph
- [ ] Confirmation of residential / physical address (utility bill or tenancy agreement)
- [ ] Proof of payment / account (bank transfer or direct deposit confirmation)
- [ ] Certified copy of recent bank statement, void cheque or ATM card mandate

(ii) BODY CORPORATES (in addition to (i) for each authorised signatory)

- [ ] Certified copy of Certificate of Registration / Incorporation
- [ ] Certified copy of the company's KRA PIN Certificate
- [ ] Company Profile
- [ ] CR12 (issued within the last 3 months)
- [ ] Ultimate Beneficial Ownership (UBO) form, duly completed
- [ ] Certified copies of IDs of all Directors, plus one passport photograph of each
- [ ] Board Resolution mandating the investment and naming authorised signatories

B: INDIVIDUAL / JOINT APPLICANT DETAILS

(i) APPLICANT 1

Title: Mr ☐ Mrs ☐ Ms ☐ Other (specify) _____

First Name and Other Names: _____ **Surname:** _____

KRA PIN: _____ **Date of Birth:** _____ **ID/Passport/Alien ID No:** _____

Postal Address: _____

City/Town: _____ **Country:** _____

Telephone Number: _____ **Mobile Number:** _____

Email Address: _____ **Nationality:** _____

SIGNATURE MANDATE (applies to the account, not each applicant individually)

Sole ☐ Either ☐ All to sign ☐ Other (specify) _____

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(ii): APPLICANT 2 (joint account only)

Title: Mr ☐ Mrs ☐ Ms ☐ Other (specify) _____

First Name and Other Names: _____ **Surname:** _____

Client/Portfolio Code _____ **KRA PIN:** _____ **Date of Birth:** _____ **ID/Passport/Alien ID No:** _____

Postal Address: _____ **P.O. Box** _____ **Postal Code:** _____

City/Town: _____ **Country:** _____

Telephone Number: _____ **Mobile Number:** _____

Email Address: _____ **Nationality:** _____

C: CORPORATE APPLICANT — COMPLETE ONLY IF BODY CORPORATE

(i) ENTITY DETAILS

Company/Organisation Name: _____

Registration Number: _____ **KRA PIN:** _____

Postal Address: _____

Contact Person: _____ **Company Designation:** _____

Contact Number: _____

Email Address: _____

Payment Amount (KES): _____

SIGNATURE MANDATE (applies to the account, not each applicant individually)

Sole ☐ Either ☐ All to sign ☐ Other (specify) _____

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(ii) AUTHORISED SIGNATORIES FOR CORPORATE APPLICANT

#	Full Name	Position	ID/Passport No.	Signature
1				
2				
3				
4				

D: INVESTMENT AMOUNT, SOURCE OF FUNDS AND NEXT OF KIN

(i) INVESTMENT AMOUNT

DETAILS OF RECEIVING BANK

Account name: AMAKA HOSP Fixed Income Fund Collection Account
Account No: 01999330000804
Bank & branch: Sidian Bank Limited, Head Office, K-Rep Centre, Wood Avenue, Kilimani
SWIFT code: SIDNKENAXXX

Amount In Figures:

Amount In Words:

Payment Method:

☐ M-PESA ☐ Bank Deposit ☐ Standing Order ☐ International Transfer

(ii) SOURCE OF FUNDS (tick all that apply)

☐ Savings ☐ Dividends ☐ Sale of Investments ☐ Gifts / Inheritance

☐ Pension ☐ Donation ☐ Business Profits ☐ Other

If Business Profits: Nature of Business

If Other: Please Specify

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(iii) NEXT OF KIN

#	Full Name	Relationship	Address	ID/Passport No	Mobile No	Email Address
1						
2						
3						

(iv) BENEFICIARIES

#	Full Name	Relationship	Date of Birth	Mobile No	Percentage
1					
2					
3					

E: EMPLOYMENT DETAILS

(I). YOUR EMPLOYMENT DETAILS

a) State your employment status

☐ Employed ☐ Self employed ☐ Retired

☐ Unemployed ☐ Other (explain): _____

b) If you are employed, please provide the following:

Current Occupation:

Employer Name:

Employer Address:

City/Town:

Country:

Employer Contact Number:

Mobile Number:

c) If you are self employed, please provide the following:

Business Name:

Nature of Business:

Business Address:

City/Town:

Country:

Employer Contact Number:

Mobile Number:

F: GENERAL TERMS, DECLARATIONS, INDEMNITY AND DATA PROTECTION

(i) GENERAL TERMS AND CONDITIONS

1. Units are traded at the daily ruling prices and will be repurchased by the Fund Manager in accordance with the Capital Markets (Collective Investment Schemes) Regulations, 2023 and on the terms and conditions set out in the relevant Trust Deed.
2. Unit prices may go up as well as down and past performance is not necessarily a guide to future performance.
3. The Fund Manager retains the right to suspend redemption in limited circumstances.
4. Completed application forms and notification of deposits/cleared funds must be received for the investment to be executed. Subscription monies in cleared funds received will be dealt with on the next Dealing Day.
5. The investor and/or their financial advisor shall at all times be responsible for ensuring that the Unit Trust and its representatives receive any instructions from the investor and/or financial advisor, whether by email or postal mail where applicable, and that such instructions are complete and correct in all respects.
6. Investors are reminded that, in certain specified circumstances, their right to redeem their units may be suspended.
7. No third-party cheques are allowed. Payments made by a third party on behalf of an investor must be supported by further documentation.
8. All transaction charges on purchasing securities shall be borne by the Fund and not the Fund Manager.
9. The subscriber, by executing this agreement, confirms that they have been provided with, and have read and understood, the contents of the Fund's Information Memorandum and agree to be bound by the terms of its Trust Deed, as amended from time to time.
10. In the event of a Force Majeure, including but not limited to an Act of God, war or other military action, political or social unrest, government action, terrorism, boycott, embargo or other sanctions, strike or industrial dispute, fire, flood, earthquake or other natural disaster, adverse weather conditions, explosion, failure of communications, system default The Fund Manager shall not be deemed to be in breach of this Agreement or otherwise liable for any delay or non-performance of its obligations to the extent caused by such Force Majeure event. If the Force Majeure event continues for more than six (6) months, the parties shall enter into good faith discussions to alleviate its effects, preserve the value of the Fund, or agree on fair and reasonable alternative arrangements. The Fund Manager may also address such Force Majeure events through various solutions, including but not limited to extending Investment Tenors or any other measures provided for in the Trust Deed.

(ii) DECLARATION

1. The information provided in this application is true, correct and accurate, and the money used for this investment does not arise from the proceeds of money laundering or any other illicit activities.
2. We confirm that, where this information is signed in a representative capacity, we have the necessary authority to do so.
3. We consent to Kweli Capital Limited and other authorized parties to this transaction verifying the information provided with others.
4. We confirm that our application to subscribe for units in the Amaka Home Ownership Savings Plan Fund is made on the basis and terms of the conditions contained in the Trust Deed.
5. We agree to accept the number of units purchased pursuant to this application and warrant that we have full power and authority to enter into and conclude this transaction.
6. We confirm that the units are not being acquired, directly or indirectly, by or on behalf of any person restricted by the law of any relevant jurisdiction from acquiring them.
7. Upon receipt, we shall review all statements and notify Kweli Capital Ltd immediately of any discrepancy.
8. We consent to the disclosure of this information, for compliance purposes, to companies within the Kweli Group, its agents, and the relevant regulators and government agencies.
9. We have read and fully understand the Fund's objectives, risk levels, income distribution and all charges as set out in the Fund Fact Sheets and the Information Memorandum.
10. We confirm that we received clear explanations and understanding of the investment product before signing.
11. We confirm that the product was fully explained to us, with particular attention paid to its charge structure and any possible cancellation penalties that we may incur.

12. We agree that all redemption proceeds and income distributions will be paid in accordance with the applicable payment instructions.
13. We agree that the Fund Sponsor is not responsible for any liability, losses or damages resulting from email or fax instructions, except where such liability or loss results from negligence or willful default on the part of the Fund Sponsor.
14. We warrant that all the information provided in this application and in all documents that have been or will be signed by us in connection with this application, whether completed in our handwriting or otherwise, is true and complete

(iii) DATA PROTECTION

1. The information provided in this agreement may be used for:

- **Processing the investment(s) under this agreement; and**
- **Providing client updates and informational material produced by Kweli Capital Ltd, including but not limited to weekly, monthly, quarterly and annual magazines and reports.**

2. Your personal data provided herein shall be stored in line with international best practice, encrypted, and protected by security systems that are reviewed periodically.
3. You have the right to request access to, rectification of, and/or deletion of your personal data to the extent that doing so does not violate any applicable law or regulation. You may also report any unsatisfactory handling of your data for appropriate remedial action.
4. Personal data shall be retained for as long as reasonably required for legal or business purposes, after which it shall be securely deleted or destroyed. For security purposes, this data may be stored in a country outside Kweli Capital Ltd's operating jurisdictions, and Kweli Capital Ltd shall take the necessary steps to ensure its continued protection.
5. Any person who has provided personal data pursuant to this agreement may withdraw their consent for its use at any time by notifying Kweli Capital Ltd.

(iv) EMAIL / FACSIMILE INDEMNITY

In consideration of acting on our instructions issued by way of facsimile and/or email, we indemnify Kweli Capital Ltd and all its agents against all claims, losses and costs that may be sustained, incurred or brought against them by reason of such facsimile and/or email instructions. We irrevocably authorize Kweli Capital Ltd and all its agents to make any payments and comply with any demands claimed from or made upon them under such facsimile and/or email instructions, without any further reference to or authority from us.

We agree that any payment made in accordance with, or purporting to be in accordance with, such facsimile and/or email instructions shall be binding upon us and shall be accepted as conclusive evidence that Kweli Capital Ltd was liable to make such payment or comply with such demand.

We further agree that our liability under this indemnity shall be a continuing liability, notwithstanding any settlement of account or any other matter whatsoever.

G: AUTHORISED SIGNATORIES

By signing below, all applicant(s) confirm they have read, understood and agree to be bound by the General Terms and Conditions, Declarations, Email / Facsimile Indemnity, and Data Protection notice (Section E).

Full Name: _____ **Signature:** _____ **Date:** _____

Full Name: _____ **Signature:** _____ **Date:** _____

Full Name: _____ **Signature:** _____ **Date:** _____

AMAKA

H: FOR OFFICIAL USE ONLY

(i) PROCESSING RECORD

	Full Name	Date	Signature
Reviewed by			
Approved by			

(ii) OFFICIAL STAMPS

OFFICIAL STAMP

Official Stamp

AUTHORISED FINANCIAL ADVISOR STAMP

Official Stamp

13th Floor, 4th Avenue Towers Bishop Road Upperhill, Nairobi
0709 004 300. Call Center: 0709 004 330/331
P.O. Box 2151 - 00202, Nairobi, Kenya
info@kwelicapital.com
ke.amakafund.com

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